

Round 3: Application Form

Local Government Innovation Fund

Step One: Fill out this Application Form in its entirety.

Step Two: Fill out the online submission form and submit your application materials. All supplemental application materials should be combined into one file for submission.

LGIF: Applicant Profile

Lead Applicant	
Project Name	
Type of Request	
Funding Request	
JobsOhio Region	
Number of Collaborative Partners	

Office of Redevelopment

Website: <http://development.ohio.gov/Urban/LGIF.htm>

Email: LGIF@development.ohio.gov

Phone: 614 | 995 2292

Lead Applicant		Round 3	
Project Name		Type of Request	

Lead Applicant				
Mailing Address:	Address Line 1			
	Address Line 2			
	City	State	Zip Code	
City, Township or Village			Population (2010)	
County			Population (2010)	
Did the lead applicant provide a resolution of support?		Yes (Attached)	No (In Process)	

Project Contact				
Complete the section below with information for the individual to be contacted on matters involving this application.				
	Project Contact		Title	
Mailing Address:	Address Line 1			
	Address Line 2			
	City	State	Zip Code	
Email Address			Phone Number	

Fiscal Officer				
Complete the section below with information for the entity and individual serving as the fiscal agent for the project.				
	Fiscal Officer		Title	
Mailing Address:	Address Line 1			
	Address Line 2			
	City	State	Zip Code	
Email Address			Phone Number	
Is your organization registered in OAKS as a vendor?		Yes	No	

Section 1
Contacts

Lead Applicant		Round 3	
Project Name		Type of	

Single Applicant		
Is your organization applying as a single entity?	Yes	No
Participating Entity: (1 point) for single applicants		

Collaborative Partners		
Does the proposal involve other entities acting as collaborative partners?	Yes	No
<p>Applicants applying with a collaborative partner are required to show proof of the partnership with a partnership agreement signed by each partner and resolutions of support from the governing entities. If the collaborative partner does not have a governing entity, a letter of support from the partnering organization is sufficient. Include these documents in the supporting documents section of the application.</p> <p>In the section below, applicants are required to identify population information and the nature of the partnership.</p> <p>Each collaborative partner should also be clearly and separately identified on pages 4-5.</p>		
Number of Collaborative Partners who signed the partnership agreement, and provided resolutions of support.		
Participating Entity: (5 points) allocated to projects with collaborative partners.		

Population		
The applicant is required to provide information from the 2010 U.S. Census information, available at: http://factfinder2.census.gov/		
Does the applicant (or collaborative partner) represent a city, township or village with a population of less than 20,000 residents?	Yes	No
	List Entity	
	Municipality/Township	Population
Does the applicant (or collaborative partner) represent a county with a population of less than 235,000 residents?	Yes	No
	List Entity	
	County	Population
Population: (3-5 points) determined by the smallest population listed in the application. Applications from (or collaborating with) small communities are preferred.		

Section 2 Collaborative Partners

Lead Applicant		Round 3	
Project Name		Type of Request	

Nature of Partnership (2000 character limit)

As agreed upon in the partnership agreement, please identify the nature of the partnership, and explain how the main applicant and the partners will work together on the proposed project.

Section 2 Collaborative Partners

List of Partners

The applicant applying with collaborative partners (defined in §1.03 of the LGIF Policies) must include the following information for each applicant:

- **Name of collaborative partners**
- **Contact Information**
- **Population data (derived from the 2010 U.S. Census)**

If the project involves more than 12 collaborative partners, additional forms are available on the LGIF website.

Lead Applicant		Round 3		
Project Name		Type of Request		

Collaborative Partners					
Number 1					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	

Collaborative Partners					
Number 2					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	

Collaborative Partners					
Number 3					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	

Collaborative Partners					
Number 4					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	

Section 2 Collaborative Partners

Lead Applicant		Round 3		
Project Name		Type of Request		

Collaborative Partners					
Number 5					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	

Collaborative Partners					
Number 6					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	

Collaborative Partners					
Number 7					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	

Collaborative Partners					
Number 8					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	

Section 2 Collaborative Partners

Lead Applicant		Round 3		
Project Name		Type of Request		

Collaborative Partners					
Number 9					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	

Collaborative Partners					
Number 10					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	

Collaborative Partners					
Number 11					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	

Collaborative Partners					
Number 12					
Address Line 1		Population			
Address Line 2		Municipality /Township		Population	
City	State	Zip Code	County	Population	
Email Address		Phone Number			
Resolution of Support		Signed Agreement		<input type="checkbox"/> Yes <input type="checkbox"/> No	
				<input type="checkbox"/> Yes <input type="checkbox"/> No	

Section 2 Collaborative Partners

Lead Applicant		Round 3	
Project Name		Type of Request	

Identification of the Type of Award	
Targeted Approach	

Project Description (4000 character limit)

Please provide a general description of the project. The information provided will be used for council briefings, program, and marketing materials.

Section 3
Project Information

Lead Applicant		Round 3	
Project Name		Type of Request	

Past Success	Yes	No
Past Success (5 points)		
Provide a summary of past efforts to implement a project to improve efficiency, implement shared services, coproduction, or a merger. (1000 character limit)		

Scalable/Replicable Proposal	Scalable	Replicable	Both
Scalable/Replicable (10 points)			
Provide a summary of how the applicant's proposal can be replicated by other local governments or scaled for the inclusion of other local governments. (1000 character limit)			

Section 3
Project Information

Probability of Success	Yes	No
Probability of Success (5 points)		
Provide a summary of the likelihood of the grant study recommendations being implemented. Applicants requesting a loan should provide a summary of the probability of savings from the loan request. (1000 character limit)		

Lead Applicant		Round 3	
Project Name		Type of Request	

Performance Audit Implementation/Cost Benchmarking	Yes	No
Performance Audit/Benchmarking (5 points)		
<p>If the project is the result of recommendations from a performance audit provided by the Auditor of State under Chapter 117 of the Ohio Revised Code or a cost benchmarking study, please attach a copy with the supporting documents. In the section below, provide a summary of the performance audit or cost benchmarking study. (1000 character limit)</p>		

Economic Impact	Yes	No
Economic Impact (5 points)		
<p>Provide a summary of how the proposal will promote a business environment (through a private business relationship) and/or provide for community attraction. (1000 character limit)</p>		

Section 3
Project Information

Response to Economic Demand	Yes	No
Response to Economic Demand (5 points)		
<p>Provide a summary of how the project responds to substantial changes in economic demand for local or regional government services. The narrative should include a description of the current service level. (1000 character limit)</p>		

Budget Information

General Instructions

- Both the Project Budget and Program Budgets are required to be filled out in this form.
- Consolidate budget information to fit in the form. Additional budget detail may be provided in the budget narrative or in an attachment in Section 5: Supplemental Information.

Project Budget:

- The Project Budget justification must be explained in the Project Budget Narrative section of the application. This section is also used to explain the reasoning behind any items on the budget that are not self explanatory, and provide additional detail about project expenses.
- The Project Budget should be for the period that covers the entire project. The look-back period for in-kind contributions is two (2) years. These contributions are considered a part of the total project costs.
- For the Project Budget, indicate which entity and revenue source will be used to fund each expense. This information will be used to help determine eligible project expenses.
- Please provide documentation of all in-kind match contributions in the supporting documents section. For future in-kind match contributions, supporting documentation will be provided at a later date.

Program Budget:

- Six (6) years of Program Budgets should be provided. The standard submission should include three years previous budgets (actual), and three years of projections including implementation of the proposed project. A second set of three years of projections (one set including implementation of this program, and one set where no shared services occurred) may be provided in lieu of three years previous if this does not apply to the proposed project.
- Please use the Program Budget Narrative section to explain any unusual activities or expenses, and to defend the budget projections. If the budget requires the combining of costs on the budget template, please explain this in the narrative.

Return on Investment:

- A Return on Investment calculation is required, and should reference cost savings, cost avoidance and/or increased revenues indicated in the budget projection sections of the application. Use the space designated for narrative to justify this calculation, using references when appropriate.

For Loan Applications only:

- Using the space provided, outline a loan repayment structure.
- Attach three years prior financial documents related to the financial health of the lead applicant (balance sheet, income statement, and a statement of cash flows).

Lead Applicant		Round 3	
Project Name		Type of Request	

Project Budget

Sources of Funds

LGIF Request:

Cash Match (List Sources Below):

Source:	<input style="width: 100%;" type="text"/>

In-Kind Match (List Sources Below):

Source:	<input style="width: 100%;" type="text"/>
Source:	<input style="width: 100%;" type="text"/>
Source:	<input style="width: 100%;" type="text"/>

Total Match:
Total Sources:

Uses of Funds

	<u>Amount</u>	<u>Revenue Source</u>
Consultant Fees:	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Legal Fees:	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Other: <input style="width: 150px;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Other: <input style="width: 150px;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Other: <input style="width: 150px;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Other: <input style="width: 150px;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
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Other: <input style="width: 150px;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>
Other: <input style="width: 150px;" type="text"/>	<input style="width: 100%;" type="text"/>	<input style="width: 100%;" type="text"/>

Total Uses:
Local Match Percentage:

* Please note that this match percentage will be included in your grant/loan agreement and cannot be changed after awards are made.

Local Match Percentage = (Match Amount/Project Cost) * 100 (10% match required)
10-39.99% (1 point) 40-69.99% (3 points) 70% or greater (5 points)

Project Budget Narrative: Use this space to justify expenses (1200 character max).

Section 4
Financial Information

Lead Applicant		Round 3
Project Name		Type of Request

Program Budget

Actual ___ Projected ___	FY _____	FY _____	FY _____
Expenses	Amount	Amount	Amount
Salary and Benefits			
Contract Services			
Occupancy (rent, utilities, maintenance)			
Training and Professional Development			
Insurance			
Travel			
Capital and Equipment Expenses			
Supplies, Printing, Copying, and Postage			
Evaluation			
Marketing			
Conferences, meetings, etc.			
Administration			
*Other - _____			
*Other - _____			
*Other - _____			
TOTAL EXPENSES			
Revenues	Revenues	Revenues	Revenues
Contributions, Gifts, Grants, and Earned Revenue			
Local Government: _____			
Local Government: _____			
Local Government: _____			
State Government			
Federal Government			
*Other - _____			
*Other - _____			
*Other - _____			
Membership Income			
Program Service Fees			
Investment Income			
TOTAL REVENUES			

Lead Applicant		Round 3	
Project Name		Type of Request	

Program Budget

Actual ___ Projected ___	FY _____	FY _____	FY _____
Expenses	Amount	Amount	Amount
Salary and Benefits			
Contract Services			
Occupancy (rent, utilities, maintenance)			
Training and Professional Development			
Insurance			
Travel			
Capital and Equipment Expenses			
Supplies, Printing, Copying, and Postage			
Evaluation			
Marketing			
Conferences, meetings, etc.			
Administration			
*Other - _____			
*Other - _____			
*Other - _____			
TOTAL EXPENSES			
Revenues	Revenues	Revenues	Revenues
Contributions, Gifts, Grants, and Earned Revenue			
Local Government: _____			
Local Government: _____			
Local Government: _____			
State Government			
Federal Government			
*Other - _____			
*Other - _____			
*Other - _____			
Membership Income			
Program Service Fees			
Investment Income			
TOTAL REVENUES			

Lead Applicant		Round 3
Project Name		Type of Request

Program Budget

Use this space to justify the program budget and/or explain any unusual revenues or expenses (6000 characters max).

Section 4: Financial Information Scoring

(5 points) Applicant provided complete and accurate budget information and narrative justification for a total of six fiscal years.

(3 points) Applicant provided complete and accurate budget information and for at least three fiscal years.

(1 point) Applicant provided complete and accurate budget information for less than three fiscal years.

Lead Applicant		Round 3	
Project Name		Type of Request	

Return On Investment

Return on Investment is a performance measure used to evaluate the efficiency of an investment. To derive the expected return on investment, divide the net gains of the project by the net costs. For these calculations, please use the implementation gains and costs, NOT the project costs (the cost of the feasibility, planning, or management study)--unless the results of this study will lead to direct savings without additional implementation costs. The gains from this project should be derived from the prior and future program budgets provided, and should be justified in the return on investment narrative.

Return on Investment Formulas:

Consider the following questions when determining the appropriate ROI formula for the project. Check the box of the formula used to determine the ROI for the project. These numbers should refer to savings/revenues illustrated in projected budgets.

Do you expect cost savings from efficiency from the project?

Use this formula:
$$\frac{\text{Total \$ Saved}}{\text{Total Program Costs}} * 100 = \text{ROI}$$

Do you expect cost avoidance from the implementation of the project/program?

Use this formula:
$$\frac{\text{Total Cost Avoided}}{\text{Total Program Costs}} * 100 = \text{ROI}$$

Do you expect increased revenues as a result of the project/program?

Use this formula:
$$\frac{\text{Total New Revenue}}{\text{Total Program Costs}} * 100 = \text{ROI}$$

Expected Return on Investment = _____ * 100 = _____

Return on Investment Justification Narrative: In the space below, briefly describe the nature of the expected return on investment, using references when appropriate. (1300 character limit)

Expected Return on Investment is:

Less than 25% (10 points)
25%-74.99% (20 points)
Greater than 75% (30 points)

Questions about how to calculate ROI? Please contact the Office of Redevelopment at 614-995-2292 or lgif@development.ohio.gov

Section 4
Financial Information

Lead Applicant		Round 3	
Project Name		Type of Request	

Loan Repayment Structure

Please outline the preferred loan repayment structure. At a minimum, please include the following: the entities responsible for repayment of the loan, all parties responsible for providing match amounts, and an alternative funding source (in lieu of collateral). Applicants will have two years to complete the project upon execution of the loan agreement, and the repayment period will begin upon the final disbursement of the loan funds. A description of expected savings over the term of the loan may be used as a repayment source.

Section 4
Financial Information

Applicant demonstrates a viable repayment source to support loan award. Secondary source can be in the form of a debt reserve, bank participation, a guarantee from a local entity, or other collateral (i.e. emergency, rainy day, or contingency fund, etc).

Applicant clearly demonstrates a secondary repayment source (5 points)	Applicant does not have a secondary repayment source (0 points)
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Lead Applicant		Round 3	
Project Name		Type of Request	

Scoring Overview

Section 1: Collaborative Measures

Collaborative Measures	Description	Max Points		Applicant Self Score
Population	Applicant's population (or the population of the area(s) served) falls within one of the listed categories as determined by the U.S. Census Bureau. Population scoring will be determined by the smallest population listed in the application. Applications from (or collaborating with) small communities are preferred.	5		
Participating Entities	Applicant has executed partnership agreements outlining all collaborative partners and participation agreements and has resolutions of support. (Note: Sole applicants only need to provide a resolution of support from its governing entity.	5		

Section 2: Success Measures

Past Success	Applicant has successfully implemented, or is following project guidance from a shared services model, for an efficiency, shared service, coproduction or merger project in the past.	5		
Scalable/Replicable Proposal	Applicant's proposal can be replicated by other local governments or scaled for the inclusion of other local governments.	10		
Probability of Success	Applicant provides a documented need for the project and clearly outlines the likelihood of the need being met.	5		

Section 3: Significance Measures

Performance Audit Implementation/Cost Benchmarking	The project implements a single recommendation from a performance audit provided by the Auditor of State under Chapter 117 of the Ohio Revised Code or is informed by cost benchmarking.	5		
Economic Impact	Applicant demonstrates the project will a promote business environment (i.e., demonstrates a business relationship resulting from the project) and will provide for community attraction (i.e., cost avoidance with respect to taxes)	5		
Response to Economic Demand	The project responds to current substantial changes in economic demand for local or regional government services.	5		

Section 4: Financial Measures

Financial Information	Applicant includes financial information (i.e., service related operating budgets) for the most recent three years and the three year period following the project. The financial information must be directly related to the scope of the project and will be used as the cost basis for determining any savings resulting from the project.	5		
Local Match	Percentage of local matching funds being contributed to the project. This may include in-kind contributions.	5		
Expected Return	Applicant demonstrates as a percentage of savings (i.e., actual savings, increased revenue, or cost avoidance) an expected return. The return must be derived from the applicant's cost basis.	30		
Repayment Structure (Loan Only)	Applicant demonstrates a viable repayment source to support loan award. Secondary source can be in the form of a debt reserve, bank participation, a guarantee from a local entity, or other collateral (i.e., emergency fund, rainy day fund, contingency fund, etc.).	5		

Total Points

The Village of Ottawa

136 N. Oak Street
Ottawa, Ohio 45875



Regional Water and Sanitary Sewer Feasibility Study

Application to
Local Government Innovation Fund

The Village of Ottawa

136 N. Oak Street

Ottawa, Ohio 45875

Phone - (419) 523-5020 * Fax – (419) 523-4246

Regional Water and Sanitary Sewer Feasibility Study

Documentation of each in-kind match source

The Village of Ottawa will be required to use personnel from both the water treatment facility, the water distribution division, the wastewater treatment facility and the wastewater collections division in order to assist in completing this study. We will also need the assistance of an engineering firm in order to provide design data with respect to the results of the feasibility study to address any treatment of service delivery issues which may arise.

These costs which have already been incurred or are anticipated to incur by the Village of Ottawa, are above and beyond the scope of services provided by both Bowling Green State University and the Putnam County Education Service Center. It will also be necessary for some on site operations and laboratory analysis in order to assure environmental integrity of the project.

Therefore we are submitting the following for our in-kind match source:

Incurring costs to date:

Personnel wages and benefits:	\$ 9,233.79
Inflow and Infiltration testing:	\$ 46,379.21
Putnam County inflow/infiltration testing:	\$ 13,000.00
Work and expenditures to the system:	\$293,797.00

Estimated future costs of the project related to the study:

Personnel wages and benefits:	\$ 35,000.00
Lab use and testing:	\$ 12,000.00
Equipment use:	\$ 25,000.00
Chemical use:	\$ 45,000.00
Engineering quote:	\$ 30,000.00
Student STEM Supplies:	\$ 10,000.00

While we hope to reclaim some of these costs through user fees, we estimate that these costs are very possible and necessary with respect to the project during the feasibility study in order to obtain information necessary to the future success of this project.

Jason W. Phillips

1/14/2010	2
1/29/2010	2
2/8/2010	2
3/15/2010	2
3/23/2010	8
4/7/2010	2
4/12/2010	4
4/13/2010	2
4/16/2010	2
4/19/2010	6
5/13/2010	4
5/17/2010	8
5/27/2010	2
8/19/2010	4
9/20/2010	4
10/7/2010	4
10/13/2010	8
10/14/2010	8
10/15/2010	8
11/18/2010	4
12/15/2010	8
1/17/2011	4
1/28/2011	2
2/28/2011	6
4/11/2011	6
5/5/2011	4
6/30/2011	4
7/12/2011	8
7/15/2011	4
8/22/2011	2
8/25/2011	8
10/24/2011	4
11/14/2011	2
12/7/2011	8
12/8/2011	8
12/9/2011	4
TOTAL HOURS	168

Douglas Schroeder

1/29/2010	2
2/8/2010	2
3/23/2010	4
4/12/2010	4
10/13/2010	8
10/14/2010	8
10/15/2010	8
11/18/2010	4
4/11/2011	6
5/5/2011	2
7/12/2011	2
8/22/2011	2
10/24/2011	2
TOTAL HOURS	54

10267 Road I-9, Ottawa, OH 45875

Ph: 419-889-9323

2010 Rate: \$21.82/hr., 2011 Rate: \$21.82 = \$1181.36

Benefits = \$652.63

Total = \$1833.99


Signature


Notary

LORA J. MOENTER
NOTARY PUBLIC, STATE OF OHIO
My Commission Expires Dec. 1, 2014

717 N. Hall St., Ottawa, OH 45875

Ph: 419-966-3624

2010 Rate: \$27.67/hr., 2011 Rate: \$27.95/hr. = \$4669.28

Benefits = \$2730.52

Total = \$7399.80

Additional Costs

I&I Study	\$ 46,379.21
CH2MHill	\$ 30,000.00
County study	\$ 13,000.00
Work done to system	\$293,797.00
Work done in water system	

The Village of Ottawa

136 N. Oak Street

Ottawa, Ohio 45875

Phone - (419) 523-5020 * Fax – (419) 523-4246

Regional Water and Sanitary Sewer Feasibility Study

Financial Documentation:

The following spreadsheets show the three year history and three year financial projections for the Village of Ottawa water and sewer funds.

The projected savings for the Village of Ottawa alone is \$371,500 which calculates to 26% annually in the Water Operating Fund and \$170,000 or 13% annually in the Sewer Operating Fund. Other communities within the county wide regionalization system would each experience additional savings.

Financial statements for the past three years of the Village of Ottawa Water Operating and Sewer Operating Funds are included.

The Village of Ottawa

136 N. Oak Street

Ottawa, Ohio 45875

Phone - (419) 523-5020 * Fax – (419) 523-4246

Regional Water and Sanitary Sewer Feasibility Study

Identification of whether the proposal is part of a larger consolidation effort by the applicant or collaborative partner(s).

This project is definitely part of a larger consolidation effort as evidenced by the letters of support. Besides the participating parties, other political subdivisions and entities have expressed interest in this project. This project has also been promoted at a recent meeting by a U.S. Senator's representative citing the economic benefits to each of the participating entities. Regulations concerning water and wastewater quality are not going to become less costly, and as the science of these industries continue to grow, so will the regulations concerning the operations and treatment.

At a recent meeting held by the Utilities Advisory Board, other communities and businesses seemed very interested in this concept especially with respect to controlling their costs. However, no one really could answer some of the following concerns:

1. Who will initiate the regionalization plan concerning both water and wastewater?
2. Will there be a separate coordination effort for each, due to the fact that some may have a need for water or wastewater but not for both services?
3. How will this program be sustained and who is going to monitor?
4. How are we going to pay for the system and who will share in the costs?
5. How will the plan be developed, and who will be responsible for developing this plan?
6. How can such regulatory issues such as TTHM's and D/DDP's be addressed?
7. Is fire protection between communities a viable option?
8. How will we address the water age issue and possible deterioration?
9. What are the economic benefits with respect to the participating parties?
10. What are the environmental impacts with respect to the region?
11. What are the economic benefits with respect to the consumers, the businesses and the industries?
12. How do the economic benefits relate to the State of Ohio's overall economic plan?

These questions and more were brought up at the meeting hosted by the Utilities Advisory Board in February of this year.

If we are successful in obtaining the grant, Bowling Green State University, in coordination with the Putnam County Educational Service Center will address these issues and more. Through analysis and field testing they should be able to provide answers as well as develop a general plan and a contingency plan offering the participating entities with a direction in which to proceed.

OTTAWA VILLAGE, PUTNAM COUNTY

FUND CLASSIFICATION: Enterprise	WATER OPERATING FUND					FUND NO. 5101		
	2009 Actual	2010 Actual	2011 Actual	2012 Est Actual	2013 Est Actual	2014 Est Actual	2015 Est Actual	2016 Est Actual
INSIDE RATE/CCF	\$3.65	\$3.65	\$3.76	\$3.87	\$3.99	\$3.99	\$3.99	\$3.99
FUND CASH BALANCE JANUARY 1	\$1,862,156.96	\$1,692,170.25	\$1,617,861.59	\$1,708,941.65	\$1,576,975.06	\$1,432,224.19	\$1,614,386.20	\$1,749,097.41
OPERATING REVENUES								
Charges for Services	\$1,239,657.00	\$1,436,087.22	\$1,336,234.23	\$1,390,424.16	\$1,414,581.42	\$1,414,581.42	\$1,414,581.42	\$1,414,581.42
Increased Revenues with Regionalization						\$960,000.00	\$960,000.00	\$960,000.00
Other Miscellaneous	\$18,075.00	\$13,316.06	\$9,041.93	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL OPERATING RECEIPTS	\$1,257,732.00	\$1,449,403.28	\$1,345,276.16	\$1,390,424.16	\$1,414,581.42	\$2,374,581.42	\$2,374,581.42	\$2,374,581.42
OPERATING DISBURSEMENTS								
Personal Service	\$326,602.00	\$323,966.60	\$303,075.53	\$303,075.53	\$312,167.80	\$321,532.83	\$331,178.81	\$341,114.18
Employee Fringe Benefits	\$238,786.00	\$219,388.18	\$192,417.35	\$198,189.87	\$218,008.86	\$239,809.74	\$263,790.72	\$290,169.79
Contractual Services	\$170,942.00	\$185,797.51	\$183,356.30	\$188,856.99	\$194,522.70	\$200,358.38	\$206,369.13	\$212,560.20
Supplies and Materials	\$248,389.00	\$337,086.16	\$238,335.98	\$245,486.06	\$252,850.64	\$260,436.16	\$268,249.25	\$276,296.72
Other			\$1,444.86					
Capital Outlay	\$235,300.00	\$247,276.42	\$79,341.25	\$250,000.00	\$250,000.00	\$250,000.00	\$250,000.00	\$250,000.00
Increase Exp. with Regionalization						\$588,500.00	\$588,500.00	\$588,500.00
TOTAL OPERATING DISBURSEMENTS	\$1,220,019.00	\$1,313,514.87	\$997,971.27	\$1,185,608.45	\$1,227,549.99	\$1,860,637.11	\$1,908,087.91	\$1,958,640.90
OPERATING INCOME	\$37,713.00	\$135,888.41	\$347,304.89	\$204,815.71	\$187,031.43	\$513,944.31	\$466,493.52	\$415,940.53
OTHER FINANCING SOURCES & USES								
Connection Fee								
Intergovernmental								
Special Assessments	\$22,060.00	\$26,282.07	\$26,833.98	\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00
Sale of Fixed Assets		\$2,931.97	\$2,361.21					
Proceeds of Bonds								
Proceeds of Notes			\$57.74					
Fund Balance Adj for Prior Year Activities								
Debt Service Principal	-\$140,877.00	-\$142,360.91	-\$184,012.35	-\$356,782.30	-\$351,782.30	-\$351,782.30	-\$351,782.30	-\$351,782.30
Debt Service Interest	-\$88,884.00	-\$97,050.20	-\$101,465.41					
Other Financing Sources								
TOTAL OTHER FIN SOURCES & USES	-\$207,701.00	-\$210,197.07	-\$256,224.83	-\$336,782.30	-\$331,782.30	-\$331,782.30	-\$331,782.30	-\$331,782.30
NET INCOME	-\$169,988.00	-\$74,308.66	\$91,080.06	-\$131,966.59	-\$144,750.87	\$182,162.01	\$134,711.22	\$84,158.23
FUND CASH BALANCE DECEMBER 31	\$1,692,168.96	\$1,617,861.59	\$1,708,941.65	\$1,576,975.06	\$1,432,224.19	\$1,614,386.20	\$1,749,097.41	\$1,833,255.64

*This projection makes the following assumptions:
 3% increase in water rates for 2012 through 2013.
 For 2012 a 0% increase in personal services and 3% increase in employee fringe benefits, contractual services and supplies and materials.
 For 2013 through 2016 an increase of 10% in employee fringe benefits and 3% in personal services, contractual services and supplies and materials per annum.
 Assumes a county wide water system in 2014.*

OTTAWA VILLAGE, PUTNAM COUNTY

FUND NO. 5201

SEWER OPERATING FUND

FUND CLASSIFICATION: Enterprise

INSIDE RATE/CCF	2009 Actual	2010 Actual	2011 Actual	2012 Est Actual	2013 Est Actual	2014 Est Actual	2015 Est Actual	2016 Est Actual
\$4.90	\$5.05	\$5.20	\$5.36	\$5.52	\$5.52	\$5.52	\$5.52	\$5.52
\$1,498,590.00	\$1,416,405.06	\$1,506,209.28	\$1,515,250.98	\$1,192,398.85	\$1,132,262.57	\$1,207,900.14	\$1,247,003.92	
FUND CASH BALANCE JANUARY 1								
OPERATING REVENUES								
Charges for Services	\$1,111,214.00	\$1,318,947.44	\$1,294,636.16	\$1,305,536.77	\$1,330,355.95	\$1,330,355.95	\$1,330,355.95	\$1,330,355.95
Increased Revenues with Regionalization					\$620,000.00	\$620,000.00	\$620,000.00	\$620,000.00
Other Miscellaneous	\$61,834.00	\$4,585.80	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
TOTAL OPERATING RECEIPTS	\$1,173,048.00	\$1,323,533.24	\$1,294,636.16	\$1,305,536.77	\$1,330,355.95	\$1,950,355.95	\$1,950,355.95	\$1,950,355.95
OPERATING DISBURSEMENTS								
Personal Service	\$277,936.00	\$248,897.69	\$272,454.07	\$272,454.07	\$280,627.69	\$289,046.52	\$297,717.92	\$306,649.46
Employee Fringe Benefits	\$206,978.00	\$178,730.28	\$169,783.85	\$174,877.37	\$192,365.10	\$211,601.61	\$232,761.77	\$256,037.95
Contractual Services	\$194,217.00	\$193,981.02	\$200,558.52	\$204,569.69	\$208,661.08	\$212,834.31	\$217,090.99	\$221,432.81
Supplies and Materials	\$112,690.00	\$87,460.64	\$115,224.58	\$117,529.07	\$119,879.65	\$122,277.25	\$124,722.79	\$127,217.25
Capital Outlay	\$42,776.00	\$103,937.45	\$111,415.73	\$420,000.00	\$150,000.00	\$150,000.00	\$150,000.00	\$150,000.00
Increase Exp. With Regionalization					\$450,000.00	\$450,000.00	\$450,000.00	\$450,000.00
TOTAL OPERATING DISBURSEMENTS	\$834,597.00	\$813,007.08	\$869,436.75	\$1,189,430.20	\$951,533.53	\$1,435,759.69	\$1,472,293.48	\$1,511,337.47
OPERATING INCOME	\$338,451.00	\$510,526.16	\$425,199.41	\$116,106.57	\$378,822.42	\$514,596.27	\$478,062.48	\$439,018.49
OTHER FINANCING SOURCES & USES								
Intergovernmental								
Special Assessments	\$20,466.00	\$26,675.50	\$33,748.28	\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00
Sale of Fixed Assets			\$446.63					
Proceeds of Bonds								
Proceeds of Notes								
Fund Balance Adj for Prior Year Activities		\$59.78						
Pohl Road Debt - OPWC								
Pohl Road Debt - DEFA								
Debt Service Principal	-\$286,339.00	-\$303,847.36	-\$318,595.90	-\$17,192.70	-\$17,192.70	-\$17,192.70	-\$17,192.70	-\$17,192.70
Debt Service Interest	-\$154,765.00	-\$143,550.08	-\$131,816.50	-\$45,247.88	-\$45,247.88	-\$45,247.88	-\$45,247.88	-\$45,247.88
WWTP Debt								
Other Financing Sources								
Increase Exp. With Regionalization								
TOTAL OTHER FIN SOURCES & USES	-\$420,638.00	-\$420,721.94	-\$416,157.71	-\$438,958.70	-\$438,958.70	-\$438,958.70	-\$438,958.70	-\$438,958.70
NET INCOME	-\$82,187.00	\$89,804.22	\$9,041.70	-\$322,852.13	-\$60,136.28	\$75,637.57	\$39,103.78	\$59.79
Transfers In								
FUND CASH BALANCE DECEMBER 31	\$1,416,403.00	\$1,506,209.28	\$1,515,250.98	\$1,192,398.85	\$1,132,262.57	\$1,207,900.14	\$1,247,003.92	\$1,247,063.70

This projection makes the following assumptions:
 Rates as set by ordinance through 2013.
 For 2012 no increase in personal service; 3% in employee fringe benefits; and 2% in contractual services and supplies and materials
 For 2013 through 2016 an increase of 3% in personal service; 10% in employee fringe benefits; and 2% in contractual services, supplies and materials.
 For 2012 capital costs are based upon budget figures. For 2013 through 2016, \$150,000 is allocated for capital expenditures annually.
 Assumes county wide sewer system in 2014.

The Village of Ottawa

136 N. Oak Street

Ottawa, Ohio 45875

Phone - (419) 523-5020 * Fax – (419) 523-4246

Regional Water and Sanitary Sewer Feasibility Study

The percentage of local matching funds available

With respect to the regionalization project and feasibility study to be conducted by Bowling Green State University's Water Research Quality Program in coordination with the Putnam County Educational Service Center, the Village of Ottawa submits the following percentage of local matching funds available from all sources.

The percentage of incurred costs to date:

<u>\$362,410.00 costs incurred to date</u>		
\$ 98,563.28 costs associated with the	=	367%
Feasibility Study grant		

The percentage of estimated future costs related to the study:

<u>\$157,000 future estimated costs</u>		
\$ 98,563.28 costs associated with the	=	159%
Feasibility Study grant		

The percentage of both incurred and future estimated costs:

<u>\$519,410.00 estimated cost of the project</u>		
\$ 98,563.28 costs associated with the	=	527%
Feasibility Study grant		

Presently the cost burden is high for both the Village of Ottawa and the Putnam County Commissioners. Without the feasibility study the regionalization project will have difficulty moving forward. Both the Village and the County need to determine the feasibility of this project before they would be willing to invest any more capital into regionalization of utilities for the region.

The feasibility study should provide the information required for this project to continue.

OTTAWA VILLAGE, PUTNAM COUNTY
 Statement of Fund Net Assets - Modified Cash Basis
 Proprietary Funds
 December 31, 2009

	WATER OPERATING	SEWER OPERATING	NonMajor Enterprise Funds	Total Enterprise Funds	Internal Services
Assets					
Equity in Pooled Cash and Cash Equivalents	\$1,692,168.50	\$1,416,403.31	\$314,897.35	\$3,423,469.16	\$0.00
Investments	0.00	0.00	0.00	0.00	0.00
Total Assets	\$1,692,168.50	\$1,416,403.31	\$314,897.35	\$3,423,469.16	\$0.00
Net Assets					
Restricted for:					
Capital Projects	0.00	0.00	0.00	0.00	0.00
Debt Service	0.00	0.00	0.00	0.00	0.00
Other Purposes	1,692,168.50	1,416,403.31	314,897.35	3,423,469.16	0.00
Unrestricted	0.00	0.00	0.00	0.00	0.00
Total Net Assets	\$1,692,168.50	\$1,416,403.31	\$314,897.35	\$3,423,469.16	\$0.00

See accompanying notes to the basic financial statements

	WATER OPERATING	SEWER OPERATING	OTHER ENTERPRISE FUNDS	ENTERPRISE TOTAL	INTERNAL SERVICE TOTAL
Operating Receipts					
Charges for Services	\$1,239,658.36	\$1,023,205.29	\$0.00	\$2,262,863.65	\$0.00
Other Operating Receipts	18,074.69	99,565.29	0.00	117,639.98	0.00
Total Operating Receipts	1,257,733.05	1,122,770.58	0.00	2,380,503.63	0.00
Operating Disbursements					
Personal Services	326,602.16	277,936.42	0.00	604,538.58	0.00
Employee Fringe Benefits	238,786.18	206,978.19	0.00	445,764.37	0.00
Contractual Services	170,941.59	194,217.08	0.00	365,158.67	0.00
Supplies and Materials	248,389.31	112,689.54	0.00	361,078.85	0.00
Other	0.00	0.00	0.00	0.00	0.00
Total Operating Disbursements	984,719.24	791,821.23	0.00	1,776,540.47	0.00
Operating Income (Loss)	273,013.81	330,949.35	0.00	603,963.16	0.00
Non-Operating Receipts (Disbursements)					
Property and Other Local Taxes	0.00	0.00	0.00	0.00	0.00
Intergovernmental	0.00	0.00	0.00	0.00	0.00
Special Assessments	22,060.52	20,465.50	0.00	42,526.02	0.00
Earnings on Investments	0.00	0.00	0.00	0.00	0.00
Sale of Bonds	0.00	0.00	0.00	0.00	0.00
Sale of Notes	0.00	0.00	0.00	0.00	0.00
Other Debt Proceeds	0.00	0.00	0.00	0.00	0.00
Sale of Fixed Assets	0.00	0.00	0.00	0.00	0.00
Miscellaneous Receipts	0.00	0.00	0.00	0.00	0.00
Capital Outlay	-235,300.30	-42,775.73	0.00	-278,076.03	0.00
Principal Payments	-140,876.90	-252,958.71	0.00	-393,835.61	0.00
Interest and Fiscal Charges	-88,883.87	-137,869.10	0.00	-226,752.97	0.00
Discount on Debt	0.00	0.00	0.00	0.00	0.00
Other Financing Sources	0.00	0.00	0.00	0.00	0.00

See accompanying notes to the basic financial statements

(Continued)

OTTAWA v E. PUTNAM COUNTY
 Statement of Cash Receipts, Disbursements, and Changes in Fund Net Assets-Modified Cash Basis
 Proprietary Funds
 For the Year Ended December 31, 2009
 (Continued)

	WATER OPERATING	SEWER OPERATING	OTHER ENTERPRISE FUNDS	ENTERPRISE TOTAL	INTERNAL SERVICE TOTAL
Other Financing Uses	0.00	0.00	0.00	0.00	0.00
Total Non-Operating Receipts (Disbursements)	-443,000.55	-413,138.04	0.00	-856,138.59	0.00
Income (Loss) before Transfers and Advances	-169,986.74	-82,188.69	0.00	-252,175.43	0.00
Capital Contributions	0.00	0.00	0.00	0.00	0.00
Special Items	0.00	0.00	0.00	0.00	0.00
Extraordinary Items	0.00	0.00	0.00	0.00	0.00
Transfers In	0.00	0.00	0.00	0.00	0.00
Transfers Out	0.00	0.00	0.00	0.00	0.00
Advances In	0.00	0.00	0.00	0.00	0.00
Advances Out	0.00	0.00	0.00	0.00	0.00
Change in Net Assets	-169,986.74	-82,188.69	0.00	-252,175.43	0.00
Net Assets Beginning of Year	1,862,155.24	1,498,592.00	314,897.35	3,675,644.59	0.00
Net Assets End of Year	\$1,692,168.50	\$1,416,403.31	\$314,897.35	\$3,423,469.16	\$0.00

See accompanying notes to the basic financial statements

OTTAWA VILLAGE, PUTNAM COUNTY
Statement of Fund Net Assets - Modified Cash Basis
Proprietary Funds
December 31, 2010

	WATER OPERATING	SEWER OPERATING	NonMajor Enterprise Funds	Total Enterprise Funds	Internal Services
Assets					
Equity in Pooled Cash and Cash Equivalents	\$1,617,861.59	\$1,506,209.28	\$314,897.35	\$3,438,968.22	\$0.00
Investments	0.00	0.00	0.00	0.00	0.00
Total Assets	\$1,617,861.59	\$1,506,209.28	\$314,897.35	\$3,438,968.22	\$0.00
Net Assets					
Restricted for:					
Capital Projects	0.00	0.00	0.00	0.00	0.00
Debt Service	0.00	0.00	0.00	0.00	0.00
Other Purposes	1,617,861.59	1,506,209.28	314,897.35	3,438,968.22	0.00
Unrestricted	0.00	0.00	0.00	0.00	0.00
Total Net Assets	\$1,617,861.59	\$1,506,209.28	\$314,897.35	\$3,438,968.22	\$0.00

See accompanying notes to the basic financial statements

OTTAWA v E. PUTNAM COUNTY
 Statement of Cash Receipts, Disbursements, and Changes in Fund Net Assets-Modified Cash Basis
 Proprietary Funds
 For the Year Ended December 31, 2010

	WATER OPERATING	SEWER OPERATING	OTHER ENTERPRISE FUNDS	ENTERPRISE TOTAL	INTERNAL SERVICE TOTAL
Operating Receipts					
Charges for Services	\$1,436,087.22	\$1,318,947.44	\$0.00	\$2,755,034.66	\$0.00
Other Operating Receipts	13,316.06	4,585.80	0.00	17,901.86	0.00
Total Operating Receipts	1,449,403.28	1,323,533.24	0.00	2,772,936.52	0.00
Operating Disbursements					
Personal Services	323,966.60	248,897.69	0.00	572,864.29	0.00
Employee Fringe Benefits	219,388.18	178,730.28	0.00	398,118.46	0.00
Contractual Services	185,797.51	193,981.02	0.00	379,778.53	0.00
Supplies and Materials	337,086.16	87,460.64	0.00	424,546.80	0.00
Other	0.00	0.00	0.00	0.00	0.00
Total Operating Disbursements	1,066,238.45	709,069.63	0.00	1,775,308.08	0.00
Operating Income (Loss)	383,164.83	614,463.61	0.00	997,628.44	0.00
Non-Operating Receipts (Disbursements)					
Property and Other Local Taxes	0.00	0.00	0.00	0.00	0.00
Intergovernmental	0.00	0.00	0.00	0.00	0.00
Special Assessments	26,282.07	26,675.50	0.00	52,957.57	0.00
Earnings on Investments	0.00	0.00	0.00	0.00	0.00
Sale of Bonds	0.00	0.00	0.00	0.00	0.00
Sale of Notes	0.00	0.00	0.00	0.00	0.00
Other Debt Proceeds	0.00	0.00	0.00	0.00	0.00
Sale of Fixed Assets	2,931.97	0.00	0.00	2,931.97	0.00
Miscellaneous Receipts	0.00	0.00	0.00	0.00	0.00
Capital Outlay	-247,276.42	-103,937.45	0.00	-351,213.87	0.00
Principal Payments	-142,360.91	-303,847.36	0.00	-446,208.27	0.00
Interest and Fiscal Charges	-97,050.20	-143,550.08	0.00	-240,600.28	0.00
Discount on Debt	0.00	0.00	0.00	0.00	0.00
Other Financing Sources	0.00	0.00	0.00	0.00	0.00

See accompanying notes to the basic financial statements

(Continued)

For the Year Ended December 31, 2010
 (Continued)

	WATER OPERATING	SEWER OPERATING	OTHER ENTERPRISE FUNDS	ENTERPRISE TOTAL	INTERNAL SERVICE TOTAL
Other Financing Uses	0.00	0.00	0.00	0.00	0.00
Total Non-Operating Receipts (Disbursements)	-457,473.49	-524,659.39	0.00	-982,132.88	0.00
Income (Loss) before Transfers and Advances	-74,308.66	89,804.22	0.00	15,495.56	0.00
Capital Contributions	0.00	0.00	0.00	0.00	0.00
Special Items	0.00	0.00	0.00	0.00	0.00
Extraordinary Items	0.00	0.00	0.00	0.00	0.00
Transfers In	0.00	0.00	0.00	0.00	0.00
Transfers Out	0.00	0.00	0.00	0.00	0.00
Advances In	0.00	0.00	0.00	0.00	0.00
Advances Out	0.00	0.00	0.00	0.00	0.00
Change in Net Assets	-74,308.66	89,804.22	0.00	15,495.56	0.00
Net Assets Beginning of Year	1,692,170.25	1,416,405.06	314,897.35	3,423,472.66	0.00
Net Assets End of Year	\$1,617,861.59	\$1,506,209.28	\$314,897.35	\$3,438,968.22	\$0.00

See accompanying notes to the basic financial statements

OTTAWA VILLAGE, PUTNAM COUNTY
 Statement of Fund Net Assets - Modified Cash Basis
 Proprietary Funds
 December 31, 2011

	WATER OPERATING	SEWER OPERATING	NonMajor Enterprise Funds	Total Enterprise Funds	Internal Services
Assets					
Equity in Pooled Cash and Cash Equivalents	\$1,708,941.65	\$1,515,250.98	\$314,897.35	\$3,539,089.98	\$0.00
Cash and Cash Equivalents in					
Segregated Accounts	0.00	0.00	0.00	0.00	0.00
Cash and Cash Equivalents with					
Fiscal/Escrow Agents	0.00	0.00	0.00	0.00	0.00
Investments	0.00	0.00	0.00	0.00	0.00
Total Assets	\$1,708,941.65	\$1,515,250.98	\$314,897.35	\$3,539,089.98	\$0.00
Net Assets					
Restricted for:					
Capital Projects	0.00	0.00	0.00	0.00	0.00
Debt Service	0.00	0.00	0.00	0.00	0.00
Other Purposes	1,708,941.65	1,515,250.98	314,897.35	3,539,089.98	0.00
Unrestricted	0.00	0.00	0.00	0.00	0.00
Total Net Assets	\$1,708,941.65	\$1,515,250.98	\$314,897.35	\$3,539,089.98	\$0.00

See accompanying notes to the basic financial statements

	WATER OPERATING	SEWER OPERATING	OTHER ENTERPRISE FUNDS	ENTERPRISE TOTAL	INTERNAL SERVICE TOTAL
Operating Receipts					
Charges for Services	\$1,336,234.23	\$1,294,636.16	\$0.00	\$2,630,870.39	\$0.00
Miscellaneous	9,041.93	0.00	0.00	9,041.93	0.00
Total Operating Receipts	1,345,276.16	1,294,636.16	0.00	2,639,912.32	0.00
Operating Disbursements					
Personal Services	303,075.53	272,454.07	0.00	575,529.60	0.00
Employee Fringe Benefits	192,417.35	169,783.85	0.00	362,201.20	0.00
Contractual Services	183,356.30	200,558.52	0.00	383,914.82	0.00
Supplies and Materials	238,335.98	115,224.58	0.00	353,560.56	0.00
Claims	0.00	0.00	0.00	0.00	0.00
Other	1,444.86	0.00	0.00	1,444.86	0.00
Total Operating Disbursements	918,630.02	758,021.02	0.00	1,676,651.04	0.00
Operating Income (Loss)	426,646.14	536,615.14	0.00	963,261.28	0.00
Non-Operating Receipts (Disbursements)					
Property and Other Local Taxes	0.00	0.00	0.00	0.00	0.00
Intergovernmental	0.00	0.00	0.00	0.00	0.00
Special Assessments	26,833.98	33,748.28	0.00	60,582.26	0.00
Earnings on Investments	0.00	0.00	0.00	0.00	0.00
Sale of Bonds	0.00	0.00	0.00	0.00	0.00
Sale of Notes	0.00	0.00	0.00	0.00	0.00
Other Debt Proceeds	0.00	0.00	0.00	0.00	0.00
Premium and Accrued Interest on Debt	0.00	0.00	0.00	0.00	0.00
Sale of Capital Assets	2,361.21	446.63	0.00	2,807.84	0.00
Miscellaneous Receipts	0.00	0.00	0.00	0.00	0.00
Capital Outlay	-79,341.25	-111,415.73	0.00	-190,756.98	0.00
Principal Retirement	-184,012.35	-318,595.90	0.00	-502,608.25	0.00
Interest and Other Fiscal Charges	-101,465.41	-131,816.50	0.00	-233,281.91	0.00
Discount on Debt	0.00	0.00	0.00	0.00	0.00

See accompanying notes to the basic financial statements

(Continued)

OTTAWA VII FUTNAM COUNTY
 Statement of Receipts, Disbursements, and Changes in Fund Net Assets-Modified Cash Basis
 Proprietary Funds

For the Year Ended December 31, 2011
 (Continued)

	WATER OPERATING	SEWER OPERATING	OTHER ENTERPRISE FUNDS	ENTERPRISE TOTAL	INTERNAL SERVICE TOTAL
Other Financing Sources	0.00	0.00	0.00	0.00	0.00
Other Financing Uses	0.00	0.00	0.00	0.00	0.00
Total Non-Operating Receipts (Disbursements)	-335,623.82	-527,633.22	0.00	-863,257.04	0.00
Income (Loss) before Capital Contributions, Special Item, Extraordinary Item, Transfers and Advances	91,022.32	8,981.92	0.00	100,004.24	0.00
Capital Contributions	0.00	0.00	0.00	0.00	0.00
Special Item	0.00	0.00	0.00	0.00	0.00
Extraordinary Item	0.00	0.00	0.00	0.00	0.00
Transfers In	0.00	0.00	0.00	0.00	0.00
Transfers Out	0.00	0.00	0.00	0.00	0.00
Advances In	0.00	0.00	0.00	0.00	0.00
Advances Out	0.00	0.00	0.00	0.00	0.00
Change in Net Assets	91,022.32	8,981.92	0.00	100,004.24	0.00
Net Assets Beginning of Year	1,617,919.33	1,506,269.06	314,897.35	3,439,085.74	0.00
Net Assets End of Year	\$1,708,941.65	\$1,515,250.98	\$314,897.35	\$3,539,089.98	\$0.00

See accompanying notes to the basic financial statements

Partnership Agreement

By and Between

The Village of Ottawa, Ohio & and The Putnam County Commissioners

Project: Regional Water and Sanitary Sewer Feasibility Study

The State of Ohio, through HB 153, has established The Local Government Innovation Fund (LGIF) providing \$45 million in the form of grants and loans to political subdivisions for local government innovation projects. Funds will be awarded to projects that promote efficiency, shared services, coproduction, and mergers among local governments. Projects are also expected to facilitate improved business environments and promote community attraction.

The Village of Ottawa is applying to the LGIF for grant funds which will be used for soft costs such as feasibility studies, process implementation, cost benchmarking, planning or a management investigation targeting service(s) provided by the Village. The proposed project, explained below, qualifies under the LGIF's "Shared Services" approach where more than one entity planning and/or implementing a project that is service oriented to achieve greater efficiency in combined service delivery.

The LGIF encourages and will reward those applications for funding that include "Collaborative Partner(s)", which means any political subdivision, nonprofit entity, or for-profit entity that is identified as a partner. Applicants must provide an executed partnership agreement with other participating entities which outlines the nature of the partnership, and explains how the main applicants and partners will work collaboratively on the proposed project.

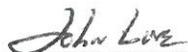
Over the past two decades, the regulations for water and sanitary sewer systems have become more stringent and costly. This has impacted the villages of Putnam County in both the areas of compliance and affordability. The necessity of such services is paramount for good quality of life. The cost of sanitary sewer and water services has increased dramatically and will continue to rise in the future.

The Village of Ottawa has provided water and sanitary sewer service to several neighboring communities and districts within Putnam County for many years. Recently, Ottawa entered into a 50 year contract with the Village of Bluffton to provide water for this community located in Allen County. Ottawa's water and waste water treatment facilities meet current EPA mandates and have enough excess capacity to service the needs of the surrounding region.

Given that the County of Putnam is both a purveyor and receiver of water and sanitary services, the Putnam County Commissioners see a need for a regional sanitary sewer and water system.

The Putnam County Commissioners and the Village of Ottawa agree to enter into this "Partnership Agreement" on February 28, 2012 as part of the LGIF grant application, to collaborate in this feasibility study addressing both economic and environmental issues facing the region regarding sanitary sewer and water regionalization.

PUTNAM COUNTY COMMISSIONERS



John Love, Commissioner

Travis A. Jerwers - absent

Travis Jerwers, Commissioner



Vince Schroeder, Commissioner

Signed Feb 28, 2012

VILLAGE OF OTTAWA, OHIO



J. Dean Meyer, Mayor



Barbara J. Brickner, Clerk-Treasurer

RECORD OF RESOLUTIONS

Dayton Legal Blank, Inc., Form No. 30045

Resolution No. _____ Passed _____, 20____

RESOLUTION NO. 6057

A RESOLUTION AUTHORIZING APPLICATION TO THE LOCAL GOVERNMENT INNOVATION FUND PROGRAM FOR A GRANT TO CONDUCT A FEASIBILITY STUDY REGARDING THE REGIONALIZATION OF WATER AND SEWER SERVICES

WHEREAS, the Village of Ottawa currently partners with Putnam County, the Village of Bluffton, the Village of Glandorf and the Village of Miller City to deliver potable water to their residents; and

WHEREAS, the Village of Ottawa currently partners with Putnam County, and the Village of Glandorf on sanitary sewer services; and

WHEREAS, other communities and residents in the area have the need to upgrade their water and/or sanitary sewer services as economically as possible; and

WHEREAS, the Village of Ottawa's water and sewer treatment plants have excess capacity to handle the needs of surrounding communities; and

WHEREAS, a feasibility study regarding servicing outlying communities and residents with water and sewer services should be conducted.

NOW, THEREFORE, BE IT RESOLVED by the Council of the Village of Ottawa, State of Ohio:

Section 1: Application to the Local Government Innovation Fund Program for a grant to conduct a feasibility study regarding the regionalization of water and sewer services be and is hereby authorized.

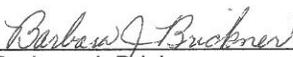
Section 2: The Mayor and Clerk-Treasurer are authorized to sign any documents and enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

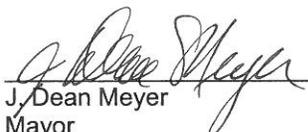
Section 3: That this Resolution shall be in force and effective immediately.

PASSED: February 13, 2012

ATTEST:

APPROVED:


Barbara J. Brickner
Clerk-Treasurer


J. Dean Meyer
Mayor

**SUPPORT REGIONAL
WATER AND SANITARY
SEWER FEASIBILITY STUDY**

RESOLUTION

The Board of County Commissioners of Putnam County, Ohio, met in regular session on the 28th day of February, 2012, at the office of said Board with the following members present: Mr. John E. Love and Mr. Vincent T. Schroeder.

Mr. Schroeder moved the adoption of the following Resolution:

WHEREAS, The Board of County Commissioners realizes that over the past two decades, the regulations for water and sanitary sewer systems have become more stringent and costly and this has impacted the villages in the county in the areas of compliance and affordability.
and

WHEREAS, The Board of Commissioners sees a need for a regional sanitary sewer and water system.
now therefore, be it

RESOLVED, The Board of County Commissioners does hereby fully support the partnership agreement between the Village of Ottawa and the Putnam County Commissioners for a regional water and sanitary sewer feasibility study.

Mr. Love seconded the motion and the roll being called upon its adoption, the vote resulted as follows:

<u>John E. Love</u>	yes
<u>Vincent T. Schroeder</u>	yes
<u>Travis A. Jerwers</u>	absent

BOARD OF COUNTY COMMISSIONERS
PUTNAM COUNTY, OHIO

Attest Betty Schroeder
Betty Schroeder, Clerk

BY: bis

**PUTNAM COUNTY
COMMUNITY IMPROVEMENT CORPORATION**

(419) 523-5595

ECONOMIC DEVELOPMENT
115 N. Fair Ave., Suite E, P.O. Box 145
OTTAWA, OHIO 45875

FAX (419) 523-6285

VIRGIL MILLER, PRES.

MARTIN J. KUHLMAN, DIRECTOR

JEFF VANCE, V-PRES.

TO: Whom it May Concern

DATE: February 10th, 2012

RE: Letter of Support

RECEIVED
2012 FEB 13 AM 10:41
VILLAGE OF OTTAWA

Over the course of the last 20 years major manufacturing investments totaling over 1.6 billion dollars have been made in seven industrial parks throughout Putnam County. Regardless of whether the investments were with existing or new businesses additional water and sanitary services were required by the Companies and the necessary infrastructure to support the expansion or location of the Company, in some cases, placed huge financial obligations on that specific Community.

The Community Improvement Corporation (CIC) believes the Communities with fully serviced industrial parks will aggressively continue their attempts to attract additional manufacturing companies in the upcoming years. As in the past more costly infrastructure will be required. The CIC believes it's the appropriate time to discuss and explore alternative ways for the Communities to address these needs.

Therefore, the CIC strongly supports an effort to secure grant funds for a feasibility study which would eventually allow for a "joint community or co-op utility entity" to own and operate a regional water and/or sanitary facility(s) in Putnam County.

Martin J. Kuhlman - Director
Putnam Community Improvement Corporation

COMMISSIONERS

John E. Love
Travis A. Jerwers
V. T. Schroeder

**BOARD OF COMMISSIONERS
OF PUTNAM COUNTY**

245 E. MAIN STREET, SUITE 101
OTTAWA, OHIO 45875-1968
419-523-3656
FAX: 419-523-9213

ADMINISTRATOR

Jack Betscher
CLERK
Betty I. Schroeder
GRANTS/WAGE COORDINATOR
Cindy M. Landwehr

RECEIVED
2012 FEB 16 PM 2:27
VILLAGE OF OTTAWA

February 16, 2012

To Whom It May Concern:
RE: Regional Water & Sanitary Feasibility Study

Over the past two decades, the regulations for water and sanitary systems have become more stringent and costly. This has impacted the villages of Putnam County in both the areas of compliance and affordability. The necessity of such services is paramount for good quality of life. The cost of sanitary and water services has increased dramatically. The outlook is that these costs will continue to rise.

Given that the County of Putnam is both a purveyor and receiver of water and sanitary services, the commissioners see a need for a regional sanitary and water system. The Putnam County Commissioners Office supports the application of a feasibility study to be done for a regional water and sanitary system.

Sincerely,

John Love

Travis A. Jerwers

Travis A. Jerwers

BOARD OF COUNTY COMMISSIONERS
PUTNAM COUNTY, OHIO

BCC/jb

PUTNAM COUNTY EDUCATIONAL SERVICE CENTER

COUNTY BOARD MEMBERS

Jaryl E. Amstutz, Pandora
William F. Goecke, Glandorf
Virgil P. Hohlbein, Ottoville
Lillian L. McKibben, Continental
Marilyn M. Weber, Ottawa

Michael Siebeneck, Treasurer

DR. JAN L. OSBORN, Superintendent

COUNTY ANNEX

124 PUTNAM PARKWAY

OTTAWA, OHIO 45875

TELEPHONE (419) 523-5951

FAX (419) 523-6126

COUNTY SERVICES

General Education Coordination
Special Education Services /
Coordination
Preschool & Early Childhood
Education/Coordination
Alternative Education Program
Substance Abuse Prevention
Technology Coordination
Attendance Officer
Grants Management

March 1, 2012

To Whom It May Concern:

The Putnam County Educational Service Center is pleased to support The Village of Ottawa in their pursuit of a grant for Water and Sewer Regionalization Study.

The Putnam County ESC will serve as coordinating agent between the Village of Ottawa and Bowling Green State University's graduate program and water quality research lab providing support for the grant. We will make our meeting rooms available for rent, and we will host an intern in our facility. The ESC is well positioned to be a grant partner based on our past success with grants and other county and regional programs, the strength of our partner commitments, and our connections throughout the state.

In this era of educational reform and economic challenges, it is critical that innovative programs be developed. We applaud the Village of Ottawa in their forward thinking regarding water and sewer resources.

In closing, we wholeheartedly support the Village of Ottawa's grant application for Water and Sewer Regionalization Study.

Sincerely,



Jan L. Osborn, Ph.D.
Superintendent of Schools

LOCAL SCHOOL DISTRICTS

Columbus Grove - Continental - Jennings - Kalida - Leipsic - Miller City-New Cleveland
Ottawa-Glandorf - Ottoville - Pandora-Gilboa

Lead Applicant	Village of Ottawa	Round 3	
Project Name	Regional Water and Sanitary Sewer Feasibility Study	Type of Request	Grant

Project Budget

Sources of Funds

LGIF Request:	\$98,563
Cash Match (List Sources Below):	
Source:	
Source:	
Source:	
Source:	
In-Kind Match (List Sources Below):	
Source: Village of Ottawa - Incurred costs to date	\$362,410
Source: Village of Ottawa - Future cost est.	\$157,000
Source:	
Total Match:	\$519,410
Total Sources:	\$617,973

Uses of Funds

	Amount	Revenue Source
Consultant Fees:		
Legal Fees:		
Other: Bowling Green University	\$92,310	
Other: Putnam County ESC	\$2,000	
Other: Indirect Cost Rate 4.51%	\$4,253	
Other: OTTAWA FUTURE COSTS	\$157,000	
Other: See attached sheet		
Other: for details		
Other: OTTAWA COSTS TO DATE	\$362,410	
Other:		
Total Uses:	\$617,973	
Local Match Percentage:	84.05%	

* Please note that this match percentage will be included in your grant/loan agreement and cannot be changed after awards are made.

Local Match Percentage = (Match Amount/Project Cost) * 100 (10% match required)

10-39.99% (1 point)
 40-69.99% (3 points)
 70% or greater (5 points)

Project Budget Narrative: Use this space to justify expenses (1200 character max).

Village will use personnel from water and wastewater division in order to assist in study. An engineering firm will be needed to provide design data from the results of the study to address any treatment of service delivery issues which may arise. Costs incurred or anticipated are above and beyond the scope of services to be provided by Bowling Green State University and the PC Educational Service Center. It will also be necessary for some on site operations and lab analysis in order to assure environmental integrity of the project. Cost Estimated future costs of the project related to the study include approximately \$157,000. We hope to reclaim some of the costs through user fees, we estimate the costs are very possible and necessary to the project during the feasibility study in order to obtain necessary information.

Section 4 Financial Information

The Village of Ottawa
136 N. Oak Street
Ottawa, Ohio 45875
Phone - (419) 523-5020 * Fax - (419) 523-4246

***Regional Water and Sanitary Sewer
Feasibility Study***

Documentation of each in-kind match source

The Village of Ottawa will be required to use personnel from both the water treatment facility, the water distribution division, the wastewater treatment facility and the wastewater collections division in order to assist in completing this study. We will also need the assistance of an engineering firm in order to provide design data with respect to the results of the feasibility study to address any treatment of service delivery issues which may arise.

These costs which have already been incurred or are anticipated to incur by the Village of Ottawa, are above and beyond the scope of services provided by both Bowling Green State University and the Putnam County Education Service Center. It will also be necessary for some on site operations and laboratory analysis in order to assure environmental integrity of the project.

Therefore we are submitting the following for our in-kind match source:

Incurred costs to date:

Personnel wages and benefits:	\$ 9,233.79
Inflow and Infiltration testing:	\$ 46,379.21
Putnam County inflow/infiltration testing:	\$ 13,000.00
Work and expenditures to the system:	\$293,797.00

Estimated future costs of the project related to the study:

Personnel wages and benefits:	\$ 35,000.00
Lab use and testing:	\$ 12,000.00
Equipment use:	\$ 25,000.00
Chemical use:	\$ 45,000.00
Engineering quote:	\$ 30,000.00
Student STEM Supplies:	\$ 10,000.00

While we hope to reclaim some of these costs through user fees, we estimate that these costs are very possible and necessary with respect to the project during the feasibility study in order to obtain information necessary to the future success of this project.

Jason W. Phillips

1/14/2010	2
1/29/2010	2
2/8/2010	2
3/15/2010	2
3/23/2010	8
4/7/2010	2
4/12/2010	4
4/13/2010	2
4/16/2010	2
4/19/2010	6
5/13/2010	4
5/17/2010	8
5/27/2010	2
8/19/2010	4
9/20/2010	4
10/7/2010	4
10/13/2010	8
10/14/2010	8
10/15/2010	8
11/18/2010	4
12/15/2010	8
1/17/2011	4
1/28/2011	2
2/28/2011	6
4/11/2011	6
5/5/2011	4
6/30/2011	4
7/12/2011	8
7/15/2011	4
8/22/2011	2
8/25/2011	8
10/24/2011	4
11/14/2011	2
12/7/2011	8
12/8/2011	8
12/9/2011	4
TOTAL HOURS	168

Douglas Schroeder

1/29/2010	2
2/8/2010	2
3/23/2010	4
4/12/2010	4
10/13/2010	8
10/14/2010	8
10/15/2010	8
11/18/2010	4
4/11/2011	6
5/5/2011	2
7/12/2011	2
8/22/2011	2
10/24/2011	2
TOTAL HOURS	54

10267 Road I-9, Ottawa, OH 45875

Ph: 419-889-9323

2010 Rate: \$21.82/hr., 2011 Rate: \$21.82 = \$1181.36

Benefits = \$652.63

Total = \$1833.99

Barbara J. Buckner
Signature

Lora J. Moenter
Notary

LORA J. MOENTER
NOTARY PUBLIC, STATE OF OHIO
My Commission Expires Dec. 1, 2014

717 N. Hall St., Ottawa, OH 45875

Ph: 419-966-3624

2010 Rate: \$27.67/hr., 2011 Rate: \$27.95/hr. = \$4669.28

Benefits = \$2730.52

Total = \$7399.80

Additional Costs

I&I Study	\$ 46,379.21
CH2MHill	\$ 30,000.00
County study	\$ 13,000.00
Work done to system	\$293,797.00
Work done in water system	

The Village of Ottawa

136 N. Oak Street

Ottawa, Ohio 45875

Phone - (419) 523-5020 * Fax – (419) 523-4246

Regional Water and Sanitary Sewer Feasibility Study

Explanation of the anticipated return on investment based on the ratio of expected savings.

The Village of Ottawa is presently servicing three other villages and some unincorporated areas within the county with water; and one other community and unincorporated areas within the county with wastewater services. Together we have developed a cooperative agreement in the form of a contract over a 50 year term in the case of Bluffton, Ohio (a community approximately 13 miles distance from Ottawa). The other partners are not as distant.

Our partnerships go back to the early 1970's when densely populated areas in the county were required to be provided with sanitary sewer systems due to the failure of septic systems. Also, a community adjacent to the Village of Ottawa without a water treatment system desired to connect to our water system. The Putnam County Commissioners and Glandorf, Ohio became contractual partners with the Village of Ottawa for water and sewer treatment services.

As time progressed we partnered with other areas of the county to provide water and sewer services, but it was not until 2002 when Philips Display Components facility employing approximately 1,500 workers and using over 500,000 gallons a day on average left our community that we realized the impact it was going to have on our consumer costs. While we were operating both facilities with a minimum amount of personnel, we still had to maintain and operate the facility with the same fixed costs as before the plant closure. This was going to have a deleterious impact on our rates to our residents, our businesses and our industries.

When Bluffton, Ohio investigated updating their own facility or purchasing water from another community, we involved ourselves in the process competing with other communities as well the possibility of Bluffton building a facility of their own. After some lengthy negotiations, Bluffton decided to go with our offer because we were less restrictive with our agreement and also encouraged increased growth for partner communities.

This agreement both stabilized our rates, our partner's rates and established better communications with all the consumers on the systems. Without this agreement Ottawa and its other users would have experienced some extremely high water and sewer rates.

We worked with Bluffton, Putnam County, Glandorf and Miller City and developed a cooperative partnership whereby all parties pay based on their percentage of usage. For example, if a community uses 10% of the resource, then they are only required to pay at a specific rate and a percent of the capital investment. This makes it fair for both the small user as well as the larger user. There are also no restrictions on resale of the product, annexation, increased use or future expansions by any of the partners. Each partner entity seats two members on a Utility Advisory Board and then elects their own officers from those members.

Recently, with the increased emphasis on regulations concerning water and wastewater treatment, including sewer separation, we have other parties who would be interested in partnering with the cooperative. This does, however, present somewhat of a dilemma. The distances are such that we would need to determine how water age and water deterioration would be impacted, especially with the smaller users. Such contaminants as disinfection by-products and total trihalomethanes in water treatment and storage and pumping issues on the wastewater end would need to be addressed.

If we are successful in receiving the grant, Bowling Green State University in coordination with the Putnam County Educational Service Center will examine these issues including running tests on water age, contaminant removal and other treatment issues in order to determine the feasibility of the project.

We anticipate the return on investment to be relatively high. In recent years, communities which built their own facilities are realizing extremely high costs to their consumers. This can only have a negative impact on residential, business and industrial retention and development. This allows for less disposable and investment income to be reinvested back into the community.

We strongly feel that by cooperating with other communities on water and wastewater delivery, we can cause those basic costs to be spread over a greater number of consumers. We feel that the feasibility study will show a greater cost savings for all involved parties, thus encouraging development and growth, thus encouraging more consumers and providing more income to the facilities by growth rather than by rate increases.

We feel that the feasibility study will also provide us with the following information:

1. Cost controls concerning both water and wastewater treatment.
2. Treatment optimization at both facilities as well as in the distribution and collections systems.
3. Provide economic impact data on the participating communities.
4. Recommend procedures for accomplishing the plan.
5. Provide data concerning economic impact on consumers with respect to future growth and development.

While the costs of the project as a whole may seem overwhelming, the economic costs and environmental impacts may be more costly if nothing is done. Communities will be dealing with ever increasing costs of maintenance, operation, and regulatory compliance on their own further increasing costs and creating a hostile environment for growth.