



Technology Validation and Start-Up Fund

2013 Request for Proposals

Phase 1 Application Information Page

This Application: Does Does Not include information considered a "trade secret" under Ohio Revised Code Section 1333.61(D)

Lead Applicant's Legal Organization Name:

Technology Transfer Office Contact

Name:

Title:

Phone Number:

Email:

Project Title:

Patent Number, Patent Application Number, or Copyright Registration Number

Total Project Budget \$ **Ohio Third Frontier Funds Requested** \$

Project Focus:

Advanced Materials (related to advanced polymers; ceramics; composites; carbon fibers and nanotubes; and, specialty metals and alloys)

Software Applications for Business and Healthcare

Aeropropulsion and Power Management

Sensing and Automation Technologies

Agribusiness

Situational Awareness and Surveillance Systems

Fuel Cells and Energy Storage

Solar Photovoltaics

Medical Technology (related to imaging; surgical instruments/equipment; implant devices; and, regenerative medicine)

Typed Name of Authorizing Agent Title of Authorizing Agent

Signature Date

For Ohio Development Services Agency Use Only

Date Received

Proposal ID #

Technology Validation and Start-Up Fund Financial Liability and Legal History

Financial Liability

The State of Ohio (the "State") will not give financial assistance of any type to an Applicant or company with outstanding financial obligations to the State or to an Ohio community or with outstanding environmental issues. The status of each Applicant will be verified with the Ohio Department of Taxation and with the Ohio Environmental Protection Agency.

Please answer the following questions. False answers may result in the State withdrawing any and all offers of financial assistance.

Does the Lead Applicant and/or company:

- a. Owe any delinquent taxes to the State, any state agency, or a political subdivision of the State? Yes No
- b. Owe any monies to the State or to a state agency for the administration or enforcement of the environmental laws of the State? Yes No
- c. Owe any past-due monies to the State, a state agency, or a political subdivision of the State? Yes No
- d. Have any existing tax liens? Yes No
- e. Have a state loan on which it has defaulted? Yes No

Legal History

Has the Lead Applicant (or user), related companies, or any officer:

- a. Been convicted of a felony? Yes No
- b. Been convicted of or enjoined from any violation of state or federal law? Yes No
- c. Been a party to any consent order or entry with respect to an alleged state or federal securities law violation? Yes No
- d. Been a defendant in a civil or criminal action? Yes No



Technology Validation and Start-Up Fund

Lead Applicant Contact Information

Authorizing Agent	Name	_____	
	Title	_____	
	Organization	_____	
	Address	_____	
	City, State, Zip	_____	
	Telephone	_____	Fax _____
	E-Mail	_____	
	_____	_____	

Project Director	Name	_____	
	Title	_____	
	Organization	_____	
	Address	_____	
	City, State, Zip	_____	
	Telephone	_____	Fax _____
	E-Mail	_____	
	_____	_____	

Fiscal Agent	Name	_____	
	Title	_____	
	Organization	_____	
	Address	_____	
	City, State, Zip	_____	
	Telephone	_____	Fax _____
	E-Mail	_____	
	_____	_____	

Grant Administrator	Name	_____	
	Title	_____	
	Organization	_____	
	Address	_____	
	City, State, Zip	_____	
	Telephone	_____	Fax _____
	E-Mail	_____	
	_____	_____	

Authorizing Agent – the individual authorized by the Lead Applicant to accept the terms and conditions of an award of Grant Funds.
Project Director – the individual authorized by the Lead Applicant to direct the Project for which the Grant Funds have been awarded.
Fiscal Agent – the individual authorized by the Lead Applicant to sign Grant-related financial documents, e.g., Requests for Payment, Grant financial reports, etc.
Grant Administrator – the individual authorized by the Lead Applicant to oversee the day-to-day administration of the Grant Funds, including preparing progress reports, monitoring project progress, etc.

Note: The same individual may hold more than one of these positions.