Introduction

This Executive Summary is being provided pursuant to the March 13, 2006 revisions to the U.S. Department of Housing and Urban Development (HUD) Consolidated Plan regulations. Those regulations require that an Executive Summary be prepared, which must include:

- A summary of the citizen participation and consultation process.
- A summary of proposed revisions.
- Objectives and outcomes and an evaluation of past performance.
- Summary of Comments received.

The Ohio Development Service Agency’s (ODSA’s) Office of Community Development (OCD) annually receives funding from the U.S. Department of Housing and Urban Development (HUD) from four programs: the Community Development Block Grant (CDBG) Program, the HOME Investment Partnerships Program (HOME), the Emergency Solutions Grant (ESG) Program, the National Housing Trust Fund (NHTF) and the Housing Opportunities for Persons With AIDS (HOPWA) Program. Prior to receiving and distributing these funds, Ohio must first prepare an annual Consolidated Plan in accordance with the requirement at 24 CFR Part 91 Subpart D, Sections 91.300 – 91.330. HUD regulations require that, in preparing the annual plan, the state must develop and follow a planning process that incorporates a citizen participation plan. The plan must include a method of distribution, as well as a description of other actions that will be undertaken in support of the state’s proposed programs and activities.

The revisions that are proposed in the PY 2018 Ohio Consolidated Plan Annual Action Plan are summarized below. Only significant program revisions are listed, not minor revisions.

PY 2018 Citizen Participation and Consultation Process

OCD completed a number of activities designed to obtain comments, perspectives, and citizen opinions to prepare the PY 2018 Ohio Consolidated Plan Annual Action Plan. Notification of all public hearings and meetings was made at least 10 days in advance of the meetings through newsletters, direct mail and posting on OCD’s website at http://development.ohio.gov/cs/cs_ocp.htm. Records of these actions and documentation are available for review at the OCD office between 8 a.m. and 5 p.m. at 77 South High Street, 26th floor in Columbus, Ohio. All facilities and meeting times selected as part of the citizen participation process were chosen to accommodate persons with disabilities. The specific citizen participation activities are described as follows.

1. Consolidated Plan Advisory Committee Meeting

The PY 2018 Ohio Consolidated Plan Advisory Committee met on September 7, 2017, to provide input prior to drafting the Draft PY 2018 Ohio Consolidated Plan Annual Action Plan and the Public Hearing on Needs. The Ohio Consolidated Plan Annual Action Plan Advisory Committee is comprised of statewide stakeholders who represent a variety of organizations that are involved with programs and issues related to affordable housing, community and economic development and homelessness and supportive housing.

2. Public Hearing On Needs

OCD held a public hearing on needs issues on September 14, 2017, in Room 1960 on the 19th Floor of the Riffe Center, in Columbus. OCD mailed Notification of the Public Hearing on Needs information to local communities, organizations and agencies throughout the state at least 30 days in advance. DSA also published the notification on OCD’s website. The notification summarized the state’s planning process for the Ohio Consolidated Plan Annual Action Plan, and solicited participation in OCD’s Program Advisory Committee meetings. OCD accepted written comments on needs issues for 15 days prior to the meeting (from September 1, 2017 to September 16,
3. Program Advisory Committees

OCD held seven Program Advisory Committees on October 30 and 31, 2017. At least 10 members comprised the Program Advisory Committees, including local officials, program administrators, nonprofit organizations, and other agencies, organizations and individuals familiar with OCD's programs and/or the Housing Development Assistance Program administered by the Ohio Housing Finance Agency (OHFA). OCD solicited participation on the Program Advisory Committees by directly mailing information to all local communities, organizations and persons on the OCD mailing list, which includes communities and organizations. The mailing also provided notification about the Public Hearing on Needs. The following Program Advisory Committee meetings were held:

- Community Development Program/Residential Public Infrastructure Program Advisory Committee
- Fair Housing/New Horizons Program Advisory Committee
- Economic Development Program Advisory Committee
- Community Housing Impact and Preservation Program Advisory Committee
- Housing Development Assistance Program (HDAP) Advisory Committee
- Homeless Crisis Response Program / Housing Assistance Grant Program Advisory Committee
- Housing Opportunities for Persons with AIDS Program Advisory Committee

4. Notification of Public Comment Period and Distribution of Plan

On March 1, 2018, OCD will send notification to local communities, agencies and organizations, informing them that the Draft PY 2018 Ohio Consolidated Plan Annual Action Plan and Executive Summary are available on OCD's website for review and comment at http://development.ohio.gov/cs/cs_ocp.htm. This notification will also announce the beginning of the mandatory 30-day public comment period on the draft plan, including a public hearing on March 8, 2018, at 10:30 a.m. at 77 South High Street, Room 1932, Columbus, Ohio. All comments received will be included along with responses in the final PY 2018 Ohio Annual Action Plan submitted to HUD.


On March 1, 2018, OCD will send notification to local communities, agencies and organizations, informing them that the Draft PY 2018 Ohio Consolidated Plan Annual Action Plan and Executive Summary are available on OCD's website for review and comment at http://development.ohio.gov/cs/cs_ocp.htm. This notification will also announce the beginning of the mandatory 30-day public comment period on the draft plan, including a public hearing on March 8, 2018, at 10:30 a.m. at 77 South High Street, Room 1932, Columbus, Ohio. All comments received will be included along with responses in the final PY 2018 Ohio Annual Action Plan submitted to HUD.

Submission to HUD

The final Ohio Consolidated Plan Annual Action Plan document will be submitted via Integrated Disbursement Information and Information Systems (IDIS) to HUD for a 45-day review period after the final allocation is released. Posting notification and availability of the final PY 2018 Ohio Consolidated Plan Annual Action Plan will be sent to local communities, agencies and organizations throughout the state.

Draft Program Year (PY) 2018 Ohio Consolidated Plan Annual Action Plan
Summary of Proposed Revisions

I. Community Housing Impact and Preservation (CHIP) Program

OCD proposes the following changes for PY 2018:

Reduced Grant Request:

- OCD added language that indicates the office reserves the right to award competitive grants at amounts lower than requested in the application. Grant awards could be lower than requested due to funding availability, application rating, capacity and performance.

Eligible Project Categories with Respective Activities:

- This section has been expanded to include specific requirements associated with all eligible activities.

Rating Criteria:

- The Needs category, previously set at a maximum of 15 points, included the level of distress and the applicants planning process. OCD changed the name to Distress and reduced the maximum points awarded to 10. The applicant’s planning process is included under the Impact category.

- The Capacity and Performance categories, previously two separate categories with maximum points of 25 and 30 points respectively, were combined for the PY 2018 year. The updated category now has a maximum of 60 points. In addition to these changes, CHIP Program-eligible counties and cities forming an eligible partnership shall receive points associated with partnership development. Counties containing no CHIP Program-eligible cities and such jurisdictions not eligible to form a partnership will automatically receive points associated for partnership development.

II. Housing Development Assistance Program

OCD proposes the following changes for PY 2018:

Housing Credit Gap Financing & Bond Gap Financing

- Up to $2,500,000 will be made available for Non-competitive Housing Tax Credits based on the needs of the project, as determined through multifamily underwriting and/or funding availability. The previous limit for these types of projects was $1,000,000.

III. CHDO Operating Grant Program

There are no major changes being proposed for PY 2018.

IV. Homeless Crisis Response Program

OCD proposes the following changes for PY 2018:

Equal Access:

- OCD added language to indicate that supportive housing projects must ensure they comply with the Equal Access to Housing Final Rule.

Housing First:
• Project applications must now include the following Housing First elements: low-barrier entrance requirements for income, sobriety, criminal history and other unnecessary conditions. No drug/alcohol testing may be performed as a condition of eligibility.

V. **Supportive Housing Program**

OCD proposes the following changes for State Fiscal Year (SFY) 2019:

**Eligible Activities:**
- The length of time to assist program participants attain permanent housing and housing stability as part of the transitional housing component has been shortened from a 4- to 24-month period to a 4- to 12-month period.
- OCD removed bridge funding, which includes first month’s rent, security deposit and utility payments, from the list of eligible activities of the permanent supportive housing component.

**Equal Access:**
- OCD added language to indicate that supportive housing projects must ensure they comply with the Equal Access to Housing Final Rule.

VI. **Housing Assistance Grant Program**

OCD proposes the following changes for SFY 2019:

**Equal Access:**
- OCD added language to indicate that supportive housing projects must ensure they comply with the Equal Access to Housing Final Rule.

VII. **Housing Opportunities for Persons with AIDS Program**

OCD proposes the following changes for PY 2018:

**Equal Access:**
- OCD added language to indicate that supportive housing projects must ensure they comply with the Equal Access to Housing Final Rule.

VIII. **Community Development Program**

OCD proposes the following changes for PY 2018:

**State Agency Coordination:**
- As part of all Community Development Programs (Allocation, Critical Infrastructure, Neighborhood Revitalization and Downtown Revitalization), projects including funding from the Ohio Department of Transportation (ODOT) must be bid, contracted and administered by the local government awarded CDBG funds.

**Allocation Program**

**Community Development Implementation Strategy (CDIS):**
- OCD recommends applicants for PY 2019 Allocation Program conduct the Community Development Implementation Strategy (CDIS) in 2018 to allow adequate time to identify and develop projects. PY
2019 communities are not required to submit the CDIS until Allocation applications are due.

**Public Service Activities:**
- Communities may request a waiver to exceed the 15 percent cap. Waiver requests must be submitted to OCD as an application attachment, and will be considered on a case-by-case basis.

**Planning Activities:**
- Communities may use no more than $15,000 for eligible planning activities. Previously, this amount had been set at $10,000.

**Neighborhood Revitalization Grants**

**Rating System Principles:**
- Program impact will now take into consideration historical performance and the progress of other projects currently funded with OCD-administered funds. Previously, program impact only considered the progress of currently funded Neighborhood Revitalization Grant activities.

**Downtown Revitalization Grants**

**Program Design:**
- Program impact will also take into consideration the applicant community’s and identified administrator’s capacity to complete federal, state, and programmatic requirements. Program impact will also take into consideration historical performance and the progress of other projects currently funded with OCD-administered funds. Previously, program impact only considered the progress of activities currently funded with OCD-administered funds.

**Critical Infrastructure Grants**

**Grant Ceiling:**
- The amount available has been increased from $300,000 to $500,000.

**Administration:**
- The amount available for administration has been increased to a maximum of $30,000 or 10 percent of the total CDBG project cost, whichever is less. The previous version of this plan included the amount of $20,000 or 10 percent of the total CDBG project cost.

**Program Investment Area:**
- Communities’ Critical Infrastructure Condition Certification must now include the impact that the current infrastructure condition has on the service area’s residents in addition to the previously requested targeted infrastructures existing conditions catalogue and explanation of how the program will improve conditions.

**Local Program Benefit/National Objective:**
- Communities are advised to seek technical assistance from OCD prior to the application submission deadline.

**Application Timing:**
- Applications will be reviewed in three rounds with applications due in June, January and April.
- Grantees committing PY 2018 Allocation Program grant funds to a first round Critical Infrastructure project must reprogram the Allocation funds to other CDBG-eligible activities if the Critical Infrastructure application is not approved by September 1, 2018.
- Communities may not commit PY 2018 or PY 2019 Allocation Program grant funds to a Round Two or Round Three Critical Infrastructure project.
- Critical Infrastructure applications not approved for funding will either be denied or returned for revision. OCD will inform applicants in writing of its decision. Communities with applications returned for revision are strongly encouraged to consult OCD for technical assistance prior to resubmission. If an application is returned for revision more than once, the community will be
required to conduct an in-person technical assistance meeting with OCD prior to resubmission. Applications that meet threshold requirements, but are not funded, may be resubmitted in the next round.

**Rating System Principles:**
- In addition to the minimum threshold requirements, applications will now be reviewed using the following criteria:

  1) Distress (30 points): Distress points will be calculated based on the LMI percent of the service area and the LMI percent of the community responsible for maintaining the project infrastructure.

  2) Leverage (10 Points): Leverage points will be calculated based on the extent to which the community will leverage other resources; leverage will be based upon the amount of other resources as compared to the total grant request and the extent to which the community will coordinate efforts with other proposed funding partners. Leverage points will be awarded to applicants using all or part of their Economic Development Revolving Loan Funds as matching dollars for Critical Infrastructure activities.

  3) Program Design (60 points): Program design points will be calculated based on the project’s criticality as demonstrated by the application narrative, photographs, Critical Infrastructure Condition Certification, and additional supporting documentation. Points will also be awarded based on the impact the current condition of the infrastructure has on the identified beneficiaries and the number of individuals expected to benefit from the project. Also, included are readiness to proceed, useful life, and the proposed project’s ability to meet the critical need identified.

Program impact will also take into consideration the applicant community’s and identified administrator’s capacity to carry out federal, state, and programmatic requirements. Administrative capacity will include experience in administering the following aspects of OCD administered programs; grant agreement(s) compliance; adhering to program regulations and policies; resolving monitoring and/or audit findings; and progress in completing activities.

Program impact will also take into consideration historical performance and the progress of other projects currently funded with OCD-administered funds.

**IX. Economic Development Loan and Public Infrastructure Grant Program**

OCD proposes the following changes for PY 2018:

**Economic Development Loan Program**

**Administrative Costs:**
- Units of general local government receiving grants for economic development projects shall be allowed a maximum of $20,000, not to exceed 10 percent of the project request, for general administration and implementation. Previously, this amount was set at $10,000.

**Economic Development Public Infrastructure Grant Program**

There are no major changes proposed to the program.

**Residential Public Infrastructure Grant Program**

**Grant Ceiling:**
- The amount available has been increased from $600,000 to $750,000.
**Administration:**

- The amount available for administration has been increased to a maximum of $30,000 or 10 percent of the total CDBG project cost, whichever is less. The previous version of this plan included the amount of $20,000 or 10 percent of the total CDBG project cost.

- Projects not requesting CDBG funds for household connections will be limited to a maximum of $20,000 in administration.

**Local Program Period:**
- OCD removed language indicating that cities and villages with one open Residential Public Infrastructure Program (RPIG) grant and counties with two open RPIG grants are not eligible to apply for funding.

**X. Target of Opportunity Grant Programs**

OCD proposes the following changes for PY 2018:

**CDBG Economic and Community Development Target of Opportunity Grant Program Eligible Projects:**
- Youth Homelessness Demonstration Program projects are now listed as an eligible project.
- Initiatives of the Director of the Ohio Development Services Agency that include CDBG eligible activities.

**New Horizons Fair Housing Assistance Program**

There are no major changes being proposed for PY 2018.

**Ohio Housing Trust Fund (OHTF) Target of Opportunity Grants**

There are no major changes being proposed for SFY 2019.

**Program Goals, Objectives, Performance Measures and Indicators**

This section provides information on performance measures that were developed as part of the PY 2015-2019 Consolidated Plan Strategy. Note, the data for the performance indicators is based on the projected outcomes that were stated in the grant application and grant agreement based on the allocation of the latest fiscal year’s funding, although the program period for many grants extends beyond a single year period. While these outcomes may vary to some extent from the actual outcomes, historically the variation has been negligible. Therefore, the Office of Community Development has concluded that it is of more value to begin the process of performance measurement based on grant award information than wait for two years or more when the grants are completed and actual outcome data is available.

Regarding long-term goals, it should be noted that the U.S. Department of Housing and Urban Development funding has been declining for several years, while costs have continued to escalate due to a variety of factors. In such an environment, it becomes increasingly difficult to attempt to measure performance as compared to long-term production goals established several years ago. Instead, the performance measures and indicators are focused on communicating the nature and extent of the impacts of programs contained in the Consolidated Plan, particularly as they affect Ohio’s communities and residents.

The following Annual Goals and Objectives were developed as part of the PY 2015 – 2019 Consolidated Plan submitted to HUD in IDIS. Though a great deal of “output” measurement data (number of units, linear feet, etc.) is reported to HUD annually, HUD is looking for “outcome” data that shows how HUD programs impact
communities. Recently, HUD developed as part of the eCon Planning Suite a prescribed method to report accomplishments based on funding sources in order to meet the 5-year strategic goals. The Annual Goals and Objectives are selected from a limited number of Goal Outcomes Indicators and Units of Measurements, thus the measurements that are currently reported differ from the previously reported performance measures in that they are not as specific. The following are the reported outcomes for PY 2016 that are associated with the goals defined in the Consolidated Plan:

**Housing Preservation and Accessibility Goal**
To provide funding for a flexible, community-wide approach to preserving and making accessible affordable owner and rental housing for low- and moderate-income (LMI) households by bringing the housing unit up to program standards and codes, eliminating hazards and deficiencies in major systems, and reducing maintenance cost.

<table>
<thead>
<tr>
<th>Goal Outcome Indicator</th>
<th>Unit of Measurement</th>
<th>Reported Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rental units Rehabilitated</td>
<td>Household Housing Unit</td>
<td>191</td>
</tr>
<tr>
<td>Homeowner Housing Rehabilitated</td>
<td>Household Housing Unit</td>
<td>938</td>
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</tbody>
</table>

**Creating New Affordable Housing Opportunities Goal**
To provide funding for a flexible, community-wide approach to creating new affordable housing opportunities for low- and moderate-income (LMI) persons.

<table>
<thead>
<tr>
<th>Goal Outcome Indicator</th>
<th>Unit of Measurement</th>
<th>Reported Outcome</th>
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</thead>
<tbody>
<tr>
<td>Rental Units Constructed</td>
<td>Household Housing Unit</td>
<td>105</td>
</tr>
<tr>
<td>Homeowner Housing Added</td>
<td>Household Housing Unit</td>
<td>19</td>
</tr>
</tbody>
</table>

**Supportive Housing and Fair Housing**
Provide supportive housing services to assist lower-income households with acquiring or maintaining housing, which can include downpayment assistance, fair housing activity with CDBG funds or tenant based rental assistance through the use of HOME funds. Additional supportive housing activities can include activities funded through the New Horizons Fair Housing Assistance Program that allows for the funding of activities that affirmatively further fair housing through the use of CDBG funds.

<table>
<thead>
<tr>
<th>Goal Outcome Indicator</th>
<th>Unit of Measurement</th>
<th>Reported Outcome</th>
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</thead>
<tbody>
<tr>
<td>Direct Financial Assistance to Homebuyers</td>
<td>Households Assisted</td>
<td>8</td>
</tr>
<tr>
<td>Tenant-based rental assistance / Rapid Rehousing</td>
<td>Households Assisted</td>
<td>133</td>
</tr>
</tbody>
</table>

**Homelessness and Supportive Housing Goal**
To provide a continuum of housing/services to prevent persons from becoming homeless and rapidly re-housing persons when homelessness does occur by: providing homelessness prevention services and assistance; moving persons from homelessness to permanent housing through the provision of housing placement, emergency shelter, rapid re-housing, and project-based transitional housing; and providing long-term permanent supportive housing to homeless persons with disabilities. The estimated total number of outcomes for the PY 2016 CAPER will include households and persons assisted with ESG funds.

<table>
<thead>
<tr>
<th>Goal Outcome Indicator</th>
<th>Unit of Measurement</th>
<th>Reported Outcome</th>
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<tbody>
<tr>
<td>Tenant-based rental assistance / Rapid Rehousing</td>
<td>Households Assisted</td>
<td>549</td>
</tr>
<tr>
<td>Homeless Person Overnight Shelter</td>
<td>Persons Assisted</td>
<td>18,610</td>
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<tr>
<td>----------------------------------</td>
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<tr>
<td>Homelessness Prevention</td>
<td>Persons Assisted</td>
<td>967</td>
</tr>
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</table>

### HOPWA Goal
The HOPWA Program provides annual information on program accomplishments in meeting the program’s performance outcome measures: maintain housing stability; improve access to care; and reduce the risk of homelessness for low-income persons and their families living with HIV/AIDS.

<table>
<thead>
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<th>Goal Outcome Indicator</th>
<th>Unit of Measurement</th>
<th>Reported Outcome</th>
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<tbody>
<tr>
<td>Tenant-based rental assistance / Rapid Rehousing</td>
<td>Households Assisted</td>
<td>1,167</td>
</tr>
</tbody>
</table>

### Community Development Infrastructure/Facilities Goal
Improve the public facilities and infrastructure in lower-income areas through LMI area-wide benefit activities, in Slum and Blight areas or on a spot Slum and Blight basis.

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<thead>
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<th>Goal Outcome Indicator</th>
<th>Unit of Measurement</th>
<th>Reported Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit</td>
<td>Persons Assisted</td>
<td>478,965</td>
</tr>
<tr>
<td>Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit</td>
<td>Households Assisted</td>
<td>70</td>
</tr>
<tr>
<td>Facade treatment/business building rehabilitation</td>
<td>Business</td>
<td>86</td>
</tr>
<tr>
<td>Buildings Demolished</td>
<td>Buildings</td>
<td>33</td>
</tr>
</tbody>
</table>

### Community Development Public Services Goal
Provide direct assistance to LMI persons, such as housing assistance, or needed services currently unavailable in the community.

<table>
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<tr>
<th>Goal Outcome Indicator</th>
<th>Unit of Measurement</th>
<th>Reported Outcome</th>
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</thead>
<tbody>
<tr>
<td>Public service activities other than Low/Moderate Income Housing Benefit</td>
<td>Persons Assisted</td>
<td>179,913</td>
</tr>
</tbody>
</table>

### Community Development Health and Safety Goal
Address LMI persons’ basic health and safety needs by providing households with potable water and/or sanitary sewage systems that meet state and federal standards, improved fire protection due to equipment and facilities acquired or improved with community development assistance and addressing imminent or immediate threats caused by natural disasters or other causes.

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<th>Reported Outcome</th>
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<tbody>
<tr>
<td>Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit</td>
<td>Persons Assisted</td>
<td>34,382</td>
</tr>
</tbody>
</table>

### Economic Development Goal
The principal goal is to create and retain permanent, private-sector job opportunities, principally for low- and moderate-income persons, through the expansion and retention of business and industry in Ohio communities. The Microenterprise Program is funded with OHTF funds.
<table>
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<th>Goal Outcome Indicator</th>
<th>Unit of Measurement</th>
<th>Reported Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit</td>
<td>Persons Assisted</td>
<td>67,640</td>
</tr>
<tr>
<td>Jobs created/retained</td>
<td>Jobs</td>
<td>95</td>
</tr>
<tr>
<td>Businesses assisted</td>
<td>Businesses Assisted</td>
<td>4</td>
</tr>
</tbody>
</table>

**Comments on the Draft PY 2018 Ohio Consolidated Plan**

The Office of Community Development will hold the 30-day public comment period beginning on March 1, 2018, along with a Public Hearing that will take place on March 8, 2018, in the Riffe Center on the 19th Floor. All comments received along with the corresponding responses prepared by OCD and the OHFA concerning the Draft PY 2018 Ohio Consolidated Plan will be included in this section.